

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 2-2005)

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

19048

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/544263**

INTERNATIONAL APPLICATION NO.

PCT/JP2003/010616

INTERNATIONAL FILING DATE

22 August 2003 (22.08.2003)

PRIORITY DATE CLAIMED

TITLE OF INVENTION

HOLDING DEVICE

APPLICANT(S) FOR DO/EO/US

Hiroshi Niwa, Fumiyuki Onoda, Michio Sato and Akira Taniguchi

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

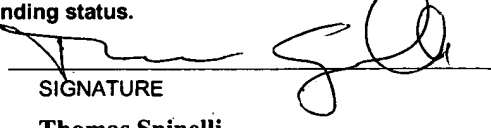
**Items 13 to 23 below concern document(s) or information included:**

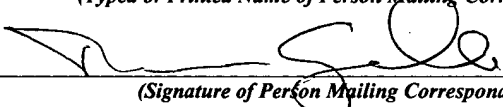
13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV657313149US**
23. ☒ Other items or information:

Seven (7) sheets of drawings

Assignee: Olympus Corporation of Tokyo, Japan

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/544263</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JP2003/010616</b>		ATTORNEY'S DOCKET NUMBER <b>19048</b>	
The following fees are submitted:				<b>CALCULATIONS</b>	<b>PTO USE</b>
24. <input checked="" type="checkbox"/> Basic national fee .....		<b>\$300</b>		\$ <b>\$300.00</b>	
25. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). ....		<b>\$100</b>		\$ <b>\$200.00</b>	
All other situations. ....		<b>\$200</b>			
26. <input checked="" type="checkbox"/> Search fee .....		<b>\$100</b>			
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....		<b>\$400</b>			
International Search Report prepared and provided to the Office .....		<b>\$500</b>		\$ <b>\$400.00</b>	
All other situations. ....		<b>\$500</b>			
<b>TOTAL OF 24, 25 and 26 =</b>				\$ <b>\$900.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)		RATE	
- 100 =	0	/50 = 0		x \$250.00	\$ <b>\$0.00</b>
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	4 - 20 =	0	x \$50.00	\$ <b>\$0.00</b>	
Independent claims	1 - 3 =	0	x \$200.00	\$ <b>\$0.00</b>	
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				\$ <b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ <b>\$900.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ <b>\$0.00</b>	
<b>SUBTOTAL =</b>				\$ <b>\$900.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ <b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				\$ <b>\$900.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$ <b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				\$ <b>\$900.00</b>	
				<b>Amount to be</b>	\$
				<b>Amount to be</b>	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <b>\$900.00</b> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>19-1013/SSMP</b> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.437(a) or (b)) must be filed and granted to restore the International Application to pending status.</b>					
SEND ALL CORRESPONDENCE TO:					
<b>Thomas Spinelli</b> Reg. No. 39,533 Cust. No. 23389			SIGNATURE  <b>Thomas Spinelli</b> NAME <b>39,533</b> REGISTRATION NUMBER		
Dated: August 2, 2005					

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): <b>Hiroshi Niwa et al.</b>			Docket No. <b>19048</b>	
Application No. <b>Unassigned</b>	Filing Date <b>Herewith</b>	Examiner <b>Unassigned</b>	Customer No. <b>23389</b>	Group Art Unit <b>Unassigned</b>
Invention: <b>HOLDING DEVICE</b>				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px 0;"> <p><b>New U.S. Application Under 35 U.S.C. 371</b></p> </div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="text-align: center;"> <p><b>August 2, 2005</b></p> <p><i>(Date)</i></p> </div> <div style="text-align: center;"> <p><b>Thomas Spinelli</b></p> <p><i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <div style="margin-top: 10px;">  </div> <p><i>(Signature of Person Mailing Correspondence)</i></p> </div> </div> <div style="text-align: center; margin-top: 10px;"> <p><b>EV657313149US</b></p> <p><i>("Express Mail" Mailing Label Number)</i></p> </div>				
<p><b>Note: Each paper must have its own certificate of mailing.</b></p>				

10/544263

JC09 Rec'd PCT/PTO 02 AUG 2009

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Hiroshi Niwa et al.

**Examiner:** Unassigned

**Serial No:** Unassigned

**Art Unit:** Unassigned

**Filed:** Herewith

**Docket:** 19048

**For:** HOLDING DEVICE

**Dated:** August 2, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Separate Paper Naming Patent Practitioners**  
**(37 C.F.R. § 1.32(c)(3))**

Sir:

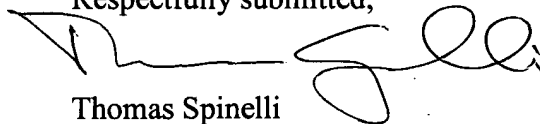
In accordance with 37 C.F.R. § 1.32 (c)(3), the following patent practitioners,  
named in the enclosed executed combined Declaration and Power of Attorney, are to be  
recognized by the USPTO as being of record in this application:

Thomas Spinelli      Reg. No. 39,533

Peter I. Bernstein      Reg. No. 43,497

Paul J. Esatto, Jr.      Reg. No. 30,749

Respectfully submitted,



Thomas Spinelli  
Registration No.: 39,533

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